

U.S. Department of Commerce, Patent and Trademark Office (PTO Form 1449 modified)		Docket No.	Serial No.
<b>LIST OF PATENTS AND PUBLICATIONS CITED BY APPLICANT</b>		SRI 1P042	09/613,235
(Use several sheets if necessary)		Applicant ARNOLD, et al	Confirmation No.:
Examiner		Filing Date	Group
		7/10/00	2774 2654

**U.S. Patent Documents**

*Examiner Initial	Document Number	Issue Date	Applicant(s) Name	Class	Subclass	Filing Date If Appropriate
A1						
A2						
A3			<b>COPY OF PAPERS ORIGINALLY FILED</b>			
A4						<b>RECEIVED</b>
A5						MAR 29 2002
A6						
A7						Technology Center 2100
A8						
A9						

**Foreign Patent Documents**

*Examiner Initial	Document Number	Date	Country	Class	Subclass	Translation	
						YES	NO
B1						<input type="checkbox"/>	<input type="checkbox"/>
B2						<input type="checkbox"/>	<input type="checkbox"/>
B3						<input type="checkbox"/>	<input type="checkbox"/>
B4						<input type="checkbox"/>	<input type="checkbox"/>

**OTHER ART**

*Examiner Initial	Including Author, Title, Date, Pertinent Pages, Etc.			
<i>VBC</i>	C1	"Using Information Extraction to Improve Document Retrieval", John Bear, et al., Proceedings of the Sixth Text Retrieval Conference, Gathiersburg, MD, Nov. 1997, published January 9, 1998		
<i>VBC</i>	C2	"FASTUS: A Cascaded Finite-State Transducer For Extracting Information from Natural-Language Text", Jerry R. Hobbs, et al., Finite State Devices for Natural Language Processing, MIT Press, 1996		
<i>VBC</i>	C3	"SRI International Fastus System MUC-6 Test Results and Analysis", Douglas E. Appelt, et al., Proceedings of the Sixth Message Understanding Conference, Columbia, MD 1995		
<i>VBC</i>	C4	"FASTUS: A Finite-state Processor for Information Extraction" Real-world Text, Douglas E. Appelt, et al., Real-World Text, in Proceedings of IJCAI-93, Chambery, France, September 1993		

Examiner Vijay B Chawla Date Considered 6/24/04

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with your communication to applicant

U.S. Department of Commerce, Patent and Trademark Office (PTO Form 1449 modified)					Docket No. DCRN 4015-5 (SRI1P042)		Serial No. 09/612,235	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT					Applicant Arnold, et al.		Confirmation No.: 9628	
(Use several sheets if necessary)					Filing Date 07/10/2000		Group 2771-2654	
Examiner								
U.S. Patent Documents								
*Examiner Initial		Document Number	Issue Date	Applicant(s) Name	Class	Subclass	Filing Date If Appropriate	
VBL	A1	5,434,777	07/18/1995	Luciw	364	419.13		
VBL	A2	5,742,816	04/21/1998	Barr et al.	395	615		
VBL	A3	5,748,974	05/05/1998	Johnson	395	759		
VBL	A4	5,895,464	04/20/1999	Bhandari et al.	707	3		
	A5						RECEIVED	
	A6						JAN 05 2004	
	A7							
	A8						Technology Center 2100	
	A9							
	A10							
Foreign Patent Documents								
*Examiner Initial		Document Number	Date	Country	Class	Subclass	Translation	
							YES	NO
VBL	B1	0 609 517	08/10/1994	EPO	G06F	15/403	<input type="checkbox"/>	<input type="checkbox"/>
VBL	B2	0 747 881	12/11/1996	EPO	G10L	7/10	<input type="checkbox"/>	<input type="checkbox"/>
VBL	B3	0 895 396	02/03/1999	EPO	H04M	3/50	<input type="checkbox"/>	<input type="checkbox"/>
	B4						<input type="checkbox"/>	<input type="checkbox"/>
	B5						<input type="checkbox"/>	<input type="checkbox"/>
OTHER ART								
*Examiner Initial		Including Author, Title, Date, Pertinent Pages, Etc.						
VBL	C1	Copy of International Search Report dated November 21, 2003 for corresponding PCT application, PCT/US00/25346						
	C2							
	C3							
Examiner	Vijay Bhowmik			Date Considered 6/24/04				
*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with your communication to applicant.								